

# The Effect of Tailwater on the USBR Type III Stilling Basin Model

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## Abstract

This study evaluates the impact of tailwater on the USBR Type III stilling pond model, which is often used to construct reservoirs with low hydrostatic pressure and small flow rates. The model includes channel blocks, barrier blocks, and end barriers to dampen energy, converting flow from supercritical to subcritical. Hydraulic characteristics, including potential jump types, pressure regimes, and forces on the barrier, are not considered. Any change in discharge during the test also changes the tailwater depth position. The purpose of this study was to determine the effects of tailwater conditions on water height ( $y_1$  and  $y_2$ ), pool length ( $L_j$ ), Froude number ( $Fr$ ), critical depth ( $y_c$ ), and energy dissipation effectiveness ( $\Delta E$ ). This study shows that the average energy dissipation ratio of USBR Type III Quiet Pool is 87.45% without the effect of Tailwater, with an efficiency rate of 12.55%. When exposed to Tailwater, the average energy dissipation ratio drops to 69.47%, resulting in an efficiency rate of 30.53%. The optimum stilling pond performance is affected by the presence of tailwater ( $T_w$ ), which reduces the energy dissipation ratio. The depth of the tailwater aids in the dissipation that occurs. Technical abbreviations will be explained in the first use. The water level rise under tailwater conditions exceeds that without tailwater. This finding shows tail water's importance for height rise and flow conditions. Flow conditions with Froude Number ( $Fr_2$ ) values of 0.22 ( $<1$ ) and  $T_w$  are classified as subcritical flow.

**Keywords:** USBR Type III, Tailwater, Stilling Basin, The Energy Dissipation.

The energy dissipators are one of the most important parts of the dam spillway [1], [2]. This building has the function of reducing the supercritical flow energy from the channel (chute way) into the subcritical flow, which is returned to the river [3], [4]. In the dissipation process, there is a hydraulic jump phenomenon, which

indicates the dissipation process [5]. Problems that often occur are that energy absorbers need to work optimally [6], which often results in scouring problems in the geometry of the river, which is at the base and cliffs of the river [7]. One variety of energy dissipators is the USBR (United States Bureau of Reclamation) type,

whose energy dissipation principle is primarily attributed to friction or collisions between water molecules, leading to the circulation of water in the basin [8] [9]. Therefore, it is called a stilling basin-type energy dissipator [10]. In theory, USBR type III is appropriate for the following circumstances. [11]: 1) flow with low hydrostatic pressure ( $P_w < 60 \text{ m}$ ); 2) small discharge (specific discharge,  $q < 18.5 \text{ m}^3/\text{s/m}$ ); and 3) Froude number at the end of chute greater than 4.50 [12].

Deng et al. confirm that this study aims to improve the flow pressure prototype for hydrostatic loads, while a related study that examines the statistics of fluctuation pressure in the lower basin due to hydrostatic loads around lateral expansion was conducted by Yan et al. [13]. The effects of channel expansion rate and flow conditions settle in the daily spectrum and dominance frequency. The pressure data refer to different Froude numbers ranging from 3.52 to 6.86 and channel expansion ratios ranging from 1.5 to 3.0 [14], providing a numerical simulation of the smallest B-jumps occurring in horizontal rectangular channels with a sudden decline. Prior to that, Altan-Sakarya and Tokyay [15]. Conducted a numerical simulation of an A-type jump occurring at a positive step. A literature review indicates that earlier investigations into forced hydraulic jumps significantly emphasize the tailwater depth downstream of the stilling basin. Under identical flow and jump conditions, the tailwater depth may vary due to its close dependence on the slope and cross-sectional characteristics of the river downstream of the basin.

Svoboda et al discovered that the basin exhibited effective performance at tailwater elevations significantly lower than those specified in the standard design of the baffle block [16]. The findings and the research that prompted the initial extension of the basin raised inquiries regarding the impact of improved energy dissipation on a stepped spillway on the stilling basin's effectiveness. Svoboda et al. also

determined that the stilling basin's performance, influenced by tailwater, increased by 6-12%.

USBR type III with its baffle blocks was designed to accelerate and shorten the hydraulic jump by forcing the turbulent process to occur from the entering flow at the toe of chute [17], [18]. That hydraulic jumps can also be controlled or directed by the existence of end sill to complete the dissipation at downstream [19], [20], where the planning of the height is associated with the need for water flow depth downstream of the energy reducer (tailwater depth) [21], [22]. Previous research from Peterka suggests that the effectiveness of an energy dissipator is highly depend on the performance of the energy dissipator itself and depends on downstream water conditions (tailwater level) [23], [24]. The minimum water depth that must be available is 0.8 conjugate depth ( $y_2$ ) for USBR type III [25]. If it is less than the minimum depth, then there is no dissipation effectiveness because of the jumps that occur out of the stilling basin [26], [27]. To preserve the river geometry's integrity, it is crucial to guarantee the occurrence of hydraulic jumps in the stilling basin [28], [29].

A physical model experiment was conducted in this study to determine the extent of tailwater's impact on energy dissipation in a USBR type III stilling basin. To assess effectiveness of dissipation, a scenario was first conducted without tailwater, and then compared with tailwater applied at the calculated conjugate depth ( $TW = y_2$ ). This study aims to determine the effect of tailwater conditions on water level at the toe of spillway and at tis conjugation ( $y_1$  and  $y_2$ ), basin length ( $L_j$ ), froude number ( $Fr$ ), critical depth ( $y_c$ ), and the effectiveness of energy dissipation ( $\Delta E$ ) using physical experimental.

## Materials and Method

### Hydraulic Jump

A hydraulic jump occurs when water transitions rapidly from supercritical flow to subcritical flow, resulting in a sudden rise in

water level and significant energy loss [30]. The beginning of the jump is marked by the formation of a turbulent vortex, which draws energy from the main flow and subsequently fragments into smaller parts downstream [31]. For supercritical flow in a horizontal rectangular channel [32], the flow velocity and height in the flow direction will be reduced due to the frictional resistance of the channel [33], resulting in the suppression of flow energy. The momentum principle can be used to deduce the nature of the downstream flow and the energy loss in the hydraulic jump as a function of the Froude number and upstream flow depth [34], as shown in Figure 1.

In hydraulic jump occurrence, the basic component that affects the energy calculation is the momentum equation:

$$P_1 - P_2 = \rho Q(v_1 - v_2) \quad (1)$$

$$\left(\frac{1}{2}\rho g y_1^2 - \frac{1}{2}\rho g y_2^2\right) B = \rho v_1 v_2 (y_1 - y_2) \quad (2)$$

where  $P$  is resultant of hydrostatic pressure;  $\rho$  as the density of water (1 g/cm<sup>3</sup>);  $B$  is width of the channel where the value can be taken as 1 length unit;  $v_1$  and  $v_2$  are velocities before and after the jump respectively;  $y_1$  and  $y_2$  are the depths before and after the jump respectively; and  $g$  is gravitational constant (9.81 m/s<sup>2</sup>).

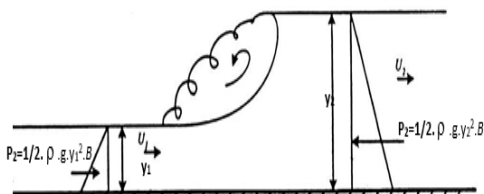


Figure 1. Momentum equation in hydraulic jump

While from continuity flow principle:

$$\frac{Q}{B} = q = v_1 y_1 = v_2 y_2 \quad (3)$$

By combining those equations, then it is simplified as froude function of ratio of conjugate depth to pre-jump depth.

$$\frac{y_2}{y_1} = \frac{1}{2} \left( \sqrt{1 + 8F_{r1}^2} - 1 \right) \quad (4)$$

The types of hydraulic jump are classified by Froude number occurred at the toe of chute as  $F_{r1} = v/\sqrt{gy_1}$ . When the value of  $Fr$  is equal to one, there is no jump occurs. Bradley and Peterka [35] classified hydraulic jumps in four types.

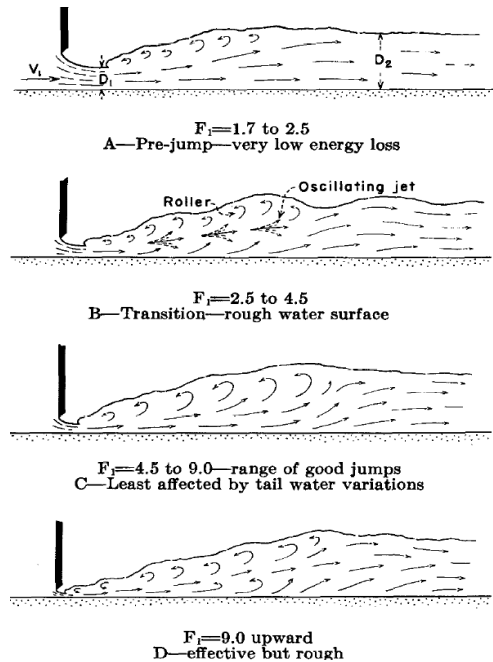


Figure 2. Types of hydraulic jump based on Froude number [36] (figures are taken from Kumcu and Ispir [12])

For  $Fr_1$  between 1.7 to 2.5; weak jump; pulsating waves form on the jump surface, while the water surface downstream remains smooth. The overall velocity remains uniform, with minimal energy loss. The ratio of  $y_2$  to  $y_1$  ranges from 2 to 3.1.

For  $Fr_1$  between 2.5 to 4.5; oscillating jump; oscillating jets are present at the base of the jump that move to and from the surface with no specific period. Each oscillation results in a large

irregular wave, causing extensive damage to the embankment. The  $y_2/y_1$  ratio ranges from 3.1 to 5.9.

For  $Fr_1$  between 4.5 to 9; steady jump; the downstream flow surface edges undergo rolling, resulting in separation from the flow at the point of high burst velocity. Typically, both phenomena happen on the same vertical surface. The movements and surges that arise are not considerably impacted by the water depth at the bottom. The hydraulic jump is incredibly balanced, which is its best characteristic. Energy dissipation ranges from 45% to 70%. The  $y_2/y_1$  ratio ranges from 5.9 to 12.

For  $Fr_1$  greater than 9; strong jump; the burst's high velocity separates the rolling waves from the springboard surface, creating downstream waves. Rough surfaces impact wave occurrence. Although rare, the jumping motion effectively attenuates up to 85% of energy. The  $y_2/y_1$  ratio is greater than 12.

## 2.2 Experimental Setup

In this experiment, 10 discharge variations were applied without setting a barrier block as a tailwater regulator downstream of the flume so that the flow would directly slide into the catch basin downstream of the flume and flow back into the reservoir. Each model was tested to measure critical depth ( $y_c$ ), flow velocity along the spillway ( $v$ ), flow depth ( $d_1$ ) before and after the hydraulic jump ( $d_2$ ), and hydraulic jump length ( $L_j$ ).

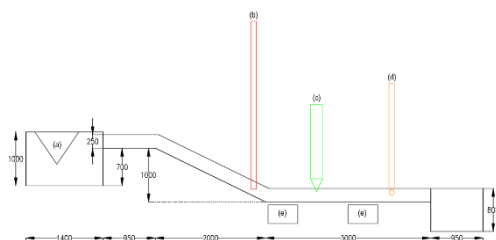


Figure 3. Schematic of measuring instrument placement (a) thompson measuring instruments / v-notch, (b) pitot pipes, (c) acoustic doppler velocimetry (ADV), (d) current meter, and (e) cameras

The measuring equipment is installed so that the flow does not sink, and the base of the sill is installed horizontally so that the incoming flow velocity becomes small. The placement of each measuring instrument can be seen in Figure 3. To simplify flow control and accuracy in data collection, measuring instruments are used to record discharge and velocity in the form of Thompson measuring instruments, pitot pipes, flow meters, Acoustic Doppler velocimetry (ADV) measuring instruments, and current meters. The measuring equipment used in this study is a sharp sill triangular weir, Thompson weir, or V-notch, with the length of each side of the triangle being 35 cm, as shown in Figure 4. The discharge used for this setup ranges from 6.124 to 30.546 l/s.



Figure 4. Thompson weir measuring instruments/ v-notch

## 2.3 USBR Type III Stilling Basin Design

As the results of all discharges measurement, the rating curve is obtained as shown in Figure 5. For design purposes, a discharge value of 10.1  $\text{cm}^3/\text{s}$  was used and a head above the spillway of 14 cm was obtained. Flow depth ( $y_1$ ) and velocity ( $v_1$ ) before the jump and corresponding Froude number ( $Fr_1$ ) were calculated and gave the values of 1.44 cm, 351.58  $\text{cm}/\text{s}$ , and 9.37 respectively. It shows that the values of  $Fr_1 > 4.5$ , so USBR type III is suitable for the stilling basin design based on USBR type III design requirements.

Regarding to Peterka, where all the dissipators attributes depend on hydraulic parameter values at upstream basin, Figure 6

shows us the result of stilling basin design based on USBR type III standard. Chute block's height was designed based on entrance flow depth ( $y_1$ ), while the baffle piers and end sill were designed based on combination of both entrance flow depth ( $y_1$ ) and Froude number value ( $Fr_1$ ). The length of basin was obtained according to the calculated conjugate depth ( $y_2$ ) and entrance Froude number ( $Fr_1$ ).

Although the v-notch flow measurement is conducted over a wide range, this experiment will use 10 discharge variations (3.82, 4.34, 4.90, 5.51, 6.15, 6.85, 7.70, 8.36, 9.20, and 10.10)  $\text{cm}^3/\text{s}$ . Based on these values, changes in hydraulic characteristics of the stilling basin will be observed. The tailwater height for each discharge value will be calculated using Equation (4) and then set in the flume.

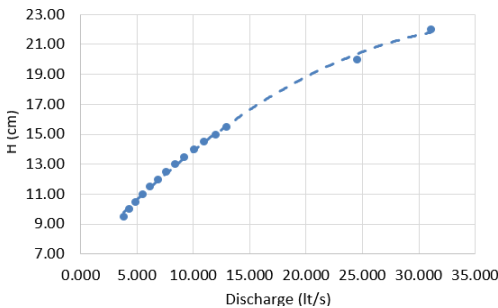


Figure 5. Thompson rating curve between water head elevation ( $H_1$ ) and discharge ( $Q$ )

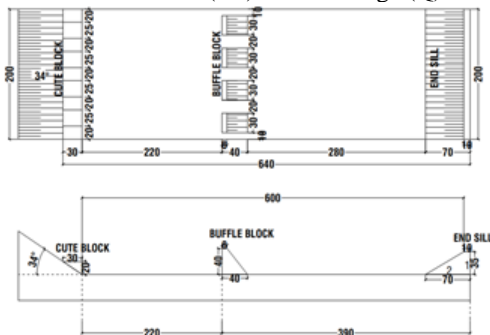


Figure 6. USBR type III stilling basin geometric design results

## 2.4 Adjusting Tailwater Effect

In the experimental process, each change in discharge also changes the position of the tailwater depth. The tailwater depth height is adjusted from higher to lower until there is no influence from the tailwater depth. The tailwater depth may affect the dissipation effectiveness ( $\Delta E$ ) as a backwater effect. In addition to the parameters in the first variation, the data on tailwater depth height ( $y_3/tw$ ) and damping effectiveness ( $\Delta E$ ) in the second variation were also obtained.

A barrier block using acrylic with the same height as  $Y_2$  is used at downstream of the flume to produce the intended tailwater effect. Based on several studies conducted, the condition of the water depth downstream (tailwater depth) greatly affects the effectiveness of dissipation. The shape and dimensions of the barrier block can be seen in Figure 7.

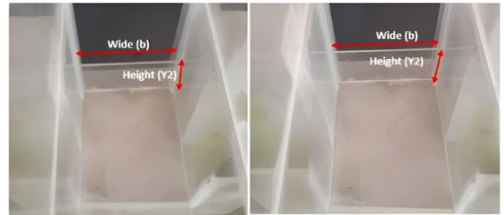


Figure 7. Barrier block at downstream of flume, adjusted from calculated required tailwater depth

Observation of hydraulic parameters due to the influence of tailwater starts from observing the water depth value upstream of the stilling basin ( $y_1$ ) at the toe of the chute channel to the value of water depth downstream of the stilling basin ( $y_2$ ). Documentation of the measurement implementation can be seen in Figure 8.



Figure 8. Measurement of flow depth and velocity over the flume

## 2.5 Velocity Conditions in Turbulent Area

Velocity testing is conducted in the turbulent area between the chute block and end sill or precisely in the baffle block area. The change in slope from a sharp slope in the launcher channel to a gentle slope in the stilling basin causes the flow to change rapidly, commonly called rapidly varied flow. This condition causes the current conditions to experience turbulence. Irregular patterns, random flow lines, and drastic speed changes characterize this flow. In this test, an automatic speed recording device, the Acoustic Doppler Velocimeter (ADV), produces a velocity recording value. Examples of test documentation at a discharge of 10.1 lt/s can be seen in Figure 9.



Figure 9. Velocity measurement in turbulent area using ADV

## Results and Discussion

Each modeling is tested and obtained data on critical depth ( $y_c$ ), flow velocity along the spillway ( $v$ ), flow depth ( $y_1$ ) before and after the hydraulic jump ( $y_2$ ), and hydraulic jump length ( $L_j$ ).

### 3.1 Results of USBR Type III Model Without Tailwater Effect

Measurement of the critical depth value ( $y_c$ ) was conducted in the launch channel with a pitot pipe tool. The water depth value upstream of the stilling basin ( $y_1$ ) was measured at the foot of the launch channel with manual observation using a ruler. Furthermore, the parameter values of critical depth ( $y_c$ ), Froude Number ( $Fr$ ), and speed upstream of the stilling basin ( $v_1$ ) and downstream of the stilling basin ( $v_2$ ) were calculated. The graph of the relationship between these parameters can be seen in Fig.10.

Figure 10(a) shows the relationship between the critical depth ( $y_c$ ) that occurs and the flow discharge ( $Q$ ), where the higher the critical depth water level elevation, the higher the flow discharge.

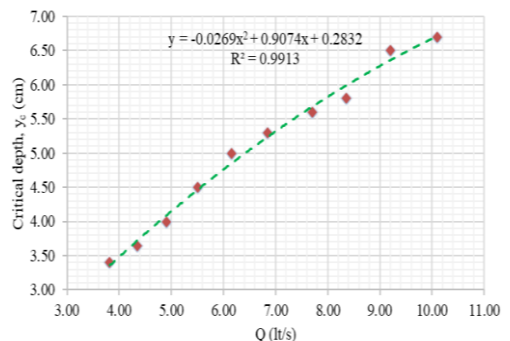


Figure 10(a). Graph between critical depth ( $y_c$ ) and discharge ( $Q$ )

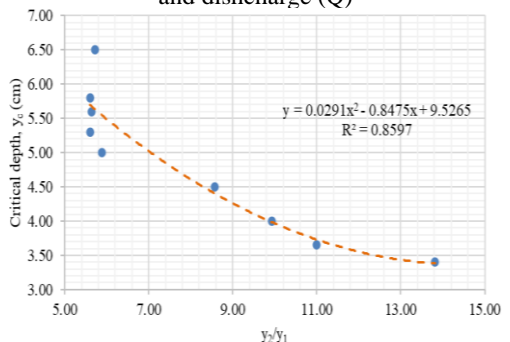


Figure 10(b). Graph between critical depth ( $y_c$ ) and ratio  $y_2/y_1$

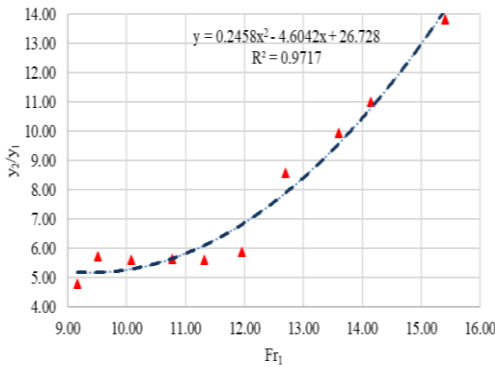


Figure 10(c). Graph between critical depth ( $y_c$ ) and ratio  $y_2/y_1$

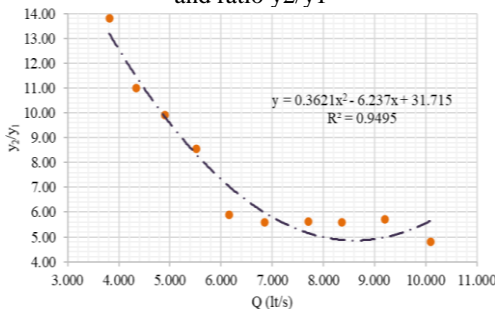


Figure 10(d). Graph between ratio  $y_2/y_1$  and discharge ( $Q$ )

Figure 10(b) shows that the value of  $y_c$  is inversely proportional to the value of  $y_2/y_1$ , where if the difference in the values of  $y_2$  and  $y_1$  is greater, the value of  $y_c$  will be thinner. The comparison of  $y_2/y_1$  values also affects the resulting  $Fr_1$  value, as the graph in Figure 10(c) shows that the higher the difference in  $y_2/y_1$ , the higher the resulting  $Fr_1$  value.

Figure 10(d) shows the relationship between flow discharge ( $Q$ ) and the ratio of  $y_2/y_1$ . The flowing  $Q$  value is inversely proportional to the value of the  $y_2/y_1$  ratio that occurs. The higher the flowing  $Q$  value, the smaller the  $y_2/y_1$  comparison results. This is because the higher the flowing  $Q$ , the higher the water level and the smaller the velocity; this causes the hydraulic jump that occurs not to be significant. On the other hand, with a small flowing  $Q$  value, the

water level that occurs is thin, and the velocity is high, thus causing a high water jump; this causes the difference between  $y_2$  and  $y_1$  to be higher, which causes supercritical flow.

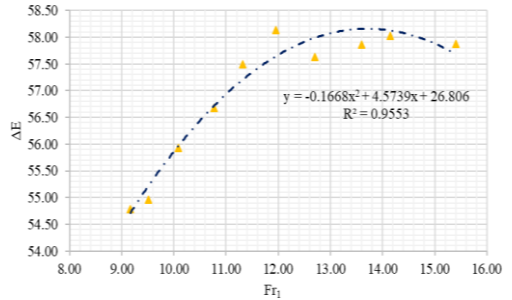


Figure 11(a). Graph between energy loss ( $\Delta E$ ) and  $Fr_1$

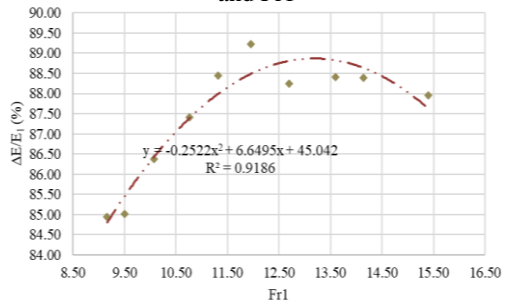


Figure 11(b). Graph between energy dissipation ratio ( $\Delta E/E_1$ ) and  $Fr_1$

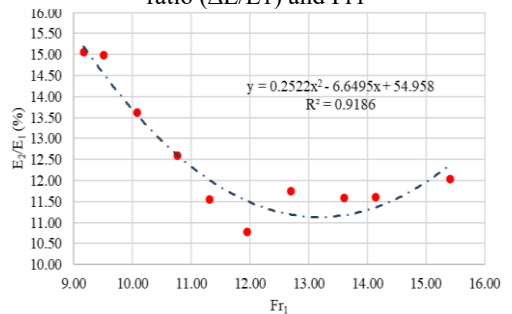


Figure 11(c). Graph between jump efficiency ( $E_2/E_1$ ) and  $Fr_1$

The following parameters for evaluating the performance of the stilling basin can be measured: energy dissipation ratio ( $\Delta E/E_1$ ) and

efficiency ( $E_2/E_1$ ). The average energy dissipation ratio of the USBR Type III stilling basin without the influence of tailwater is 87.45%, while the efficiency is 12.55%. A graph of the relationship between efficiency and other parameters is shown in Figure 11.

Figure 11(a) shows the relationship graph between the value of energy loss ( $\Delta E$ ) and  $Fr_1$ . The higher the energy dissipation that occurs, the higher the  $Fr_1$  value. The energy dissipation ratio is the ratio between the energy dissipated and the specific energy before the jump ( $\Delta E/E_1$ ). The results obtained in Figure 11(b) show that the higher the value of  $Fr_1$  that occurs, the higher the energy dissipation ratio. The relative dissipation value will be inversely proportional to the efficiency value, so the graph in Figure 11(c) is opposite to that in Figure 11(b). The greater the relative dissipation value, the smaller the efficiency value of the stilling basin performance, which causes a large  $Fr_1$  value. This is because the energy is not ideally dissipated.

### 3.2 Results of USBR Type III Model with Tailwater Effect

Observation of hydraulic parameters due to the effect of Tailwater starts from observing the water depth value upstream of the stilling basin ( $y_1$ ) at the bottom of the chute channel to the value of water depth downstream of the stilling basin ( $y_2$ ). The average energy dissipation ratio of the USBR Type III Stilling Basin with the influence of Tailwater is 69.47%, while its efficiency is 30.53%. The relationship between parameters is presented in the graphs in Figure 12.

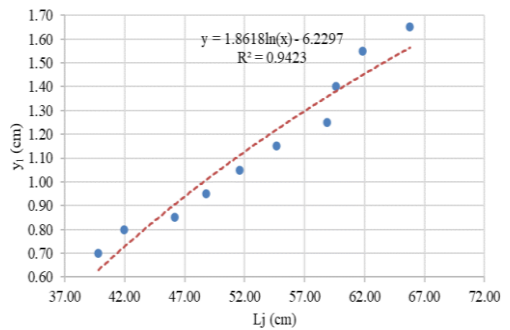


Figure 12(a). Graph between flow depth before jump ( $y_1$ ) and length of jump ( $L_j$ )

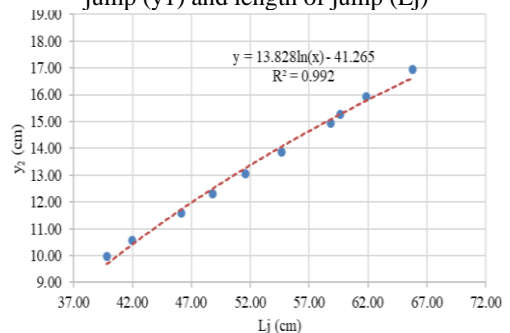


Figure 12(b). Graph between flow depth after jump ( $y_2$ ) and length of jump ( $L_j$ )

Figure 12(a) shows the relationship graph between the value of  $y_1$  and the hydraulic jump length  $L_j$ . It can be seen that the value of  $y_1$  is proportional to  $L_j$ ; the higher the water elevation in  $y_1$ , the longer the hydraulic jump, as well as the value of  $y_2$  shown in Figure 12(b). The difference between the values of  $y_1$  and  $y_2$  determines the value of the relative energy dissipation. The higher the ratio between  $y_2$  and  $y_1$ , the higher the relative dissipation value, consistent with Figure 12(c).



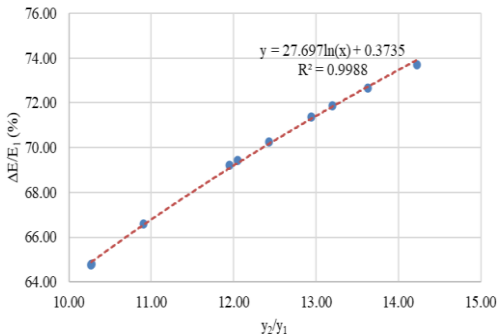


Figure 12(c). Graph between energy dissipation ratio ( $\Delta E/E_1$ ) and ratio  $y_2/y_1$

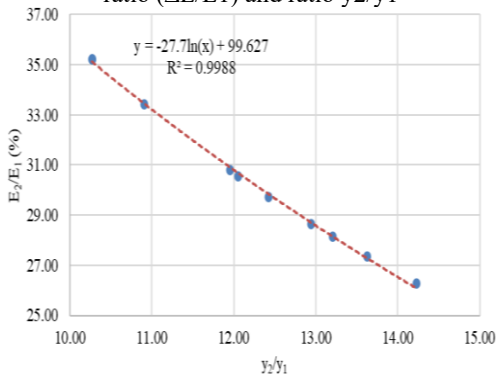


Figure 12(d). Graph between jump efficiency ( $E_2/E_1$ ) and ratio  $y_2/y_1$

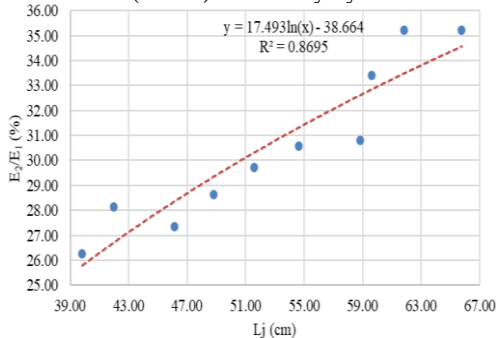


Figure 12(e). Graph between jump efficiency ( $E_2/E_1$ ) and length of jump ( $L_j$ )

The comparison of  $y_2$  and  $y_1$  values is inversely proportional to the efficiency; if the values of  $y_2$  and  $y_1$  are increasingly different, then the efficiency of the performance of the ESIC | Vol. 8 | No. 1 | Spring 2024

stilling basin will be smaller, as shown in Figure 12(d). Figure 12(e) shows that the longer the hydraulic jump ( $L_j$ ), the longer the dimension of the stilling basin is needed, causing the efficiency to decrease. It can be interpreted that the value of  $L_j$  is inversely proportional to the efficiency value of the stilling.

### 3.3 Velocity Conditions in Turbulent Areas

The velocity recording resulted from the ADV were conducted in 5 trials. The results of the velocity graph recording, data frequency and average velocity are presented in Figure 13.

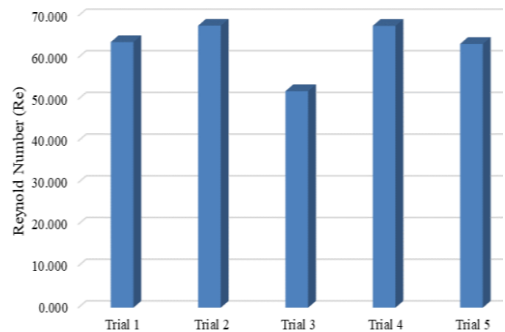


Figure 13. Average velocity ( $V$ ) in each trial

The average  $V$  value in the first trial was 63.689 cm/s, the second trial was 67.629 cm/s, the third trial was 51.923 cm/s, the fourth trial was 67.594 cm/s, and the fifth trial was 63.255 cm/s. Then the average velocity of the five trials conducted was 62.818 cm/s. In actual conditions in the experiment (prototype), the flow conditions at the bottom of the energy dissipator are turbulent. Therefore, in the flume model, the flow conditions at the bottom of the energy dissipator must be turbulent. It can be seen from Reynold's number ( $Re$ ). The graph of the relationship between hydraulic parameters and Reynold's number ( $Re$ ) can be seen in Figure 14.

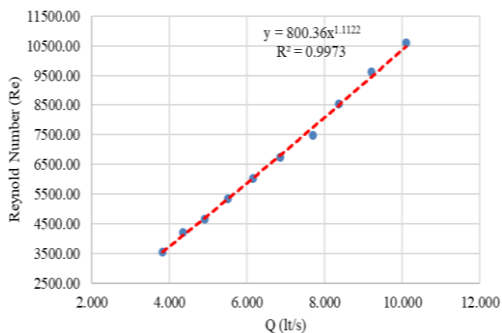


Figure 14(a). Graph between Reynold number (Re) and discharge (Q)

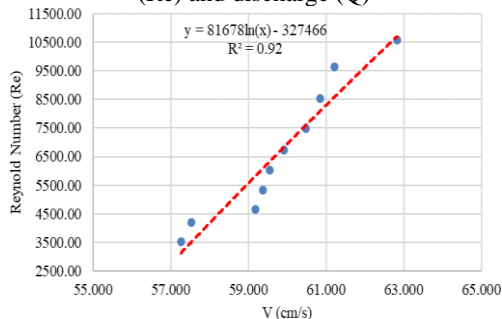


Figure 14(b). Graph between Reynold number (Re) and velocity (V)

The graph in Figure 14(a) shows that the Re value is proportional to the flow discharge. The higher the flow discharge that occurs, the higher the Re value. The higher the velocity, the higher the turbulence value, as shown in Figure 14(b). Figure 14(c) shows that the value of the water level at the time before the hydraulic jump is also proportional to the Re value.

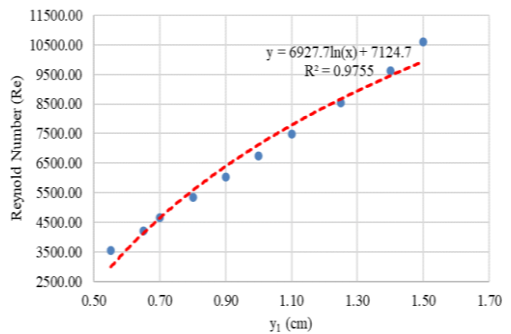


Figure 14(c). Graph between Reynold number (Re) and  $y_1$

### 3.4 Comparison of USBR Type III Prameter Result with and without Tailwater Effect

Each hydraulic parameter of the USBR Type III test without the effect of tailwater and with the effect of tailwater can be seen in Figure 15. Figure 15(a) shows that the effect of tailwater causes the performance of the optimum stilling basin because the energy dissipation ratio that occurs is small because the depth of tailwater will help the dissipating value that occurs. The tailwater effect also affects the efficiency value. Figure 15(b) shows that this effect causes the efficiency to be higher than without calculating the effect of Tailwater. The impact of tailwater is that the flow depth value becomes high, causing the velocity to be slower than without tailwater. This can be seen in the graph in Figure 15(c).

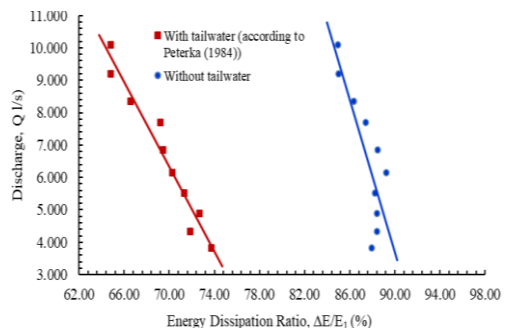


Figure 15(a). Graph between discharge (Q) and energy dissipation ratio ( $\Delta E/E_1$ )

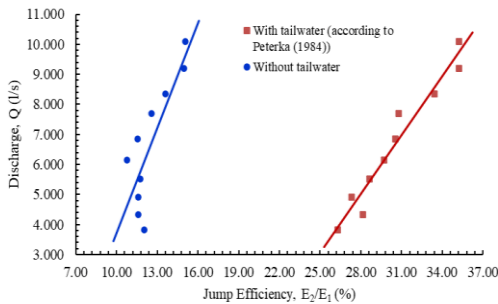


Figure 15(b). Graph between discharge (Q) and jump efficiency ( $E_2/E_1$ )

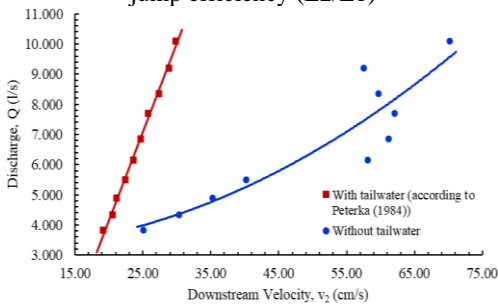


Figure 15(c). Graph between discharge (Q) and downstream velocity ( $v_2$ )

The water level elevation without tailwater has a lower water level elevation than the water level using tailwater conditions. The  $y_1$  condition without Tw is 1.50 cm, and with Tw, it is 1.65 cm. While the value of  $y_2$  without Tw is 7.2 cm and with Tw is 16.95 cm. From these conditions, the velocity  $v_2$  is 29.80 cm/s if the Froude number at downstream ( $Fr_2$ ) is calculated as follows:

$$Fr_2 = \frac{v_2}{\sqrt{g \cdot y_2}} = \frac{0.2980}{\sqrt{9.81 \times 0.16950}} = 0.22$$

With a  $Fr_2$  value of 0.22 ( $<1$ ), the flow condition with Tw is sub-critical.

## Conclusion

Based on this study. The USBR Type III Stilling Basin's performance was assessed under two conditions: without the influence of Tailwater and with the influence of Tailwater. Without Tailwater, the average energy dissipation ratio was found to be 87.45%, resulting in an efficiency of 12.55%. However, when Tailwater was considered, the average energy dissipation ratio decreased to 69.47%, with an efficiency increase to 30.53%. This indicates that the presence of Tailwater significantly impacts the Stilling Basin's performance, enhancing its efficiency. The influence of Tailwater is attributed to its role in reducing the energy dissipation ratio, as the depth of Tailwater facilitates a more effective dissipating process. Additionally, the water level elevation without Tailwater was observed to be lower compared to conditions with Tailwater. Notably, the Froude Numbers ( $Fr_2$ ) value of 0.22 ( $<1$ ) suggests that the flow condition with tailwater is sub-critical, further emphasizing its positive effect on the stilling basin's performance. With subcritical flow conditions, the velocity in the downstream of the stilling basin will also be smaller. In conclusion, incorporating tailwater in the USBR Type III Stilling Basin design improves its efficiency by optimizing energy dissipation and enhancing flow conditions.

## Acknowledgments

The authors would like to thank the Ministry of Education, Culture, Research and Technology (Center for Higher Education Funding-BPPT) and the Lembaga Pengelola Dana Pendidikan (LPDP) for their support in this research.

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